EV517725489US

10-12-04

PTO/SB/21 (02-04)

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| NOEWY | 5 | | | | | Application Num | ber | 10/7 | 71,867 | |
| | Tì | RAI | NSMITTA | L | | Filing Date | | Febi | ruary 4, 2004 | |
| | | | FORM | | | First Named Inve | entor | Sall | y W. RAMSEY | |
| | (to be used | for all c | orrespondence after in | itial filin | g) | Art Unit | | 1762 | 2 | |
| | | | | | | Examiner Name | | То Е | Be Assigned | |
| Tota | al Number of | Pages i | n This Submission | | | Attorney Docket | Number | 312 | 70-704.201 | |
| | ENCLOSI | | | | | RES (Check all that | apply) | | | |
| \boxtimes | Fee Trans | mittal I | Form | | Drawing | (s) | | | After Allowance communication to Technology Center (TC) | |
| | Fee | Attach | ned | | Licensin | g-related Papers | | | Appeal Communication to Board of Appeals and Interferences | |
| | Amendment/Reply After Final | | | | Petition | | | | Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) | |
| | After Final | | | Petition to Convert to a Provisional Application | | | | | Proprietary Information | |
| | Affidavits/declaration(s) | | | Power of Attorney, Revocation Change of Correspondence Address | | | | Status Letter | | |
| | Extension | | | | Termina | l Disclaimer | , | \boxtimes | Other Enclosure(s) (please identify below): | |
| | Express A | bandor | nment Request | | Request | for Refund | | | Declaration | |
| \boxtimes | Information | on Disc | losure Statement | | CD, Nur | nber of CD(s) | • | | Form PTO-1449 3 References | |
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| | Document Response | ` ' | sing Parts/ | | | | | | | |
| | Incomplet Res | | ication to Missing Parts | | | | | | | |
| | | | CFR 1.52 or 1.53 | TUDE | OF A DD | LICANIE AEMONA | VEW OR 10 | | | |
| Firm or Individ | lual name | Danie | | | | LICANT, ATTORN 4, WILSON SONSIN | | | ROSATI | |
| Signatu | ıre | B | on Rung | | | | | | | |
| Date October 8, 2004 | | | | | | | | | | |
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| on 08-0 | y certify that Oct-04 addres | this cor | respondence and all me Commissioner for Pate | arked atta ints, P.O. | Box 1450, | re being deposited by E Alexandria, VA 22313 | express Mail, 13-1450. | Express | Mailing Label No.: EV 517725489 US | |
| Typed | or printed na | me | Michael Boyd | | | | | | | |
| Signatu | ıre | | - | | | 1 | Date | Octobe | er 8, 2004 | |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFK 1.14. This collection is estimated to take 2 nours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA

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| FEE TRANSM | ITTAI. | | Complete if Known |
|--|---|----------------------|-------------------|
| FEFFective 10/01/2004. Patent fees are subject to annual revision. applicant claims small entity status. See 37 CFR 1.27. | Application Number | 10/771,867 | |
| | | Filing Date | February 4, 2004 |
| | for FY 2005 104. Patent fees are subject to annual revision. mall entity status. See 37 CFR 1.27. | First Named Inventor | Sally W. RAMSEY |
| applicant claims small entity status. See | 37 CFR 1.27. | Examiner Name | To Be Assigned |
| TOTAL AMOUNT OF BANAGET | (6) 120.00 | Art Unit | 1762 |
| TOTAL AMOUNT OF PAYMENT | (\$) 130.00 | Attorney Docket No. | 31270-704.201 |
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| METHOD O | F PAYMEN | T (check all that apply) | | | | FEE CAL | CULATION (continued) | |
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| Deposit Account: | | Order | Large | Entity | Small | Entity | | |
| Deposit | 23-2415 | | Fee | Fee | Fee | Fee | - | Fee |
| Account Number | | sini Goodrich & Rosati | Code | (\$) | Code | (S) | Fee Description | Paid |
| Account Name | wilson Son | sini Goodrich & Rosati | 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | 130.00 |
| The Director is authoriz | | ••• | 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| Charge fee(s) indicate | _ | Credit any overpayments | 1053 | 130 | 1053 | 130 | Non-English specification | |
| Charge any additional | • • • • | 1, | 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | |
| Charge fees(s) indicate above-identified depos | ed below, exc it account. | ept for the filing fee to the | 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| F | EE CALCU | LATION | 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1. BASIC FILING FEI | | | 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| Large Entity Smal | l Entity Fee | | 1252 | 430 | 2252 | 215 | Extension for reply within second month | |
| Code (\$) Code | | Fee Description Fee Paid | 1253 | 980 | 2253 | 490 | Extension for reply within third month | |
| 1001 790 2001 | 395 | Utility filing fee | 1254 | 1,530 | 2254 | 765 | Extension for reply within fourth month | |
| 1002 350 2002 | 175 | Design filing fee | 1255 | 2,080 | 2255 | 1,040 | Extension for reply within fifth month | |
| 1003 550 2003 | 275 | Plant filing fee | 1401 | 340 | 2401 | 170 | Notice of Appeal | |
| 1004 790 2004 | 395 | Reissue filing fee | 1402 | 340 | 2402 | 170 | Filing a brief in support of an appeal | |
| 1005 160 2005 | 80 | Provisional filing fee | 1403 | 300 | 2403 | 150 | Request for oral hearing | , |
| | SUBTOTA | AL (1) (\$) 0 | 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | 07/185 |
| 2. EXTRA CLAIM FEI | | | 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | /01 |
| | Extra Claim: | Fee from s below Fee Paid | 1453 | 1,370 | 2453 | 685 | Petition to revive - unintentional | |
| | ••= | x = | 1501 | 1,370 | 2501 | 685 | Utility issue fee (or reissue) | 232415 |
| Independent Claims -3* | •= | x = | 1502 | 490 | 2502 | 245 | Design issue fee | |
| Multiple Dependent | | = | 1503 | 660 | 2503 | 330 | Plant issue fee | 0083 DA |
| Large Entity S | mall Entity | _ | 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | <u> </u> |
| Fee Fee Fe Code (\$) Cod | | Fee Description | 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | 130 |
| 1202 18 220 | . , | Claims in excess of 20 | 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | HAUI |
| 1201 88 220 |)1 44 | Independent claims in excess of 3 | 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | 4/2004 CCHAU C:1051 |
| 1203 300 220 | 150 | Multiple dependent claim, if not paid | 1809 | 790 | 2809 | 395 | Filing a submission after final rejection | 14/2004 FC:1051 |
| 1204 88 220 |)4 44 | **Reissue independent claims over original patent | 1810 | 790 | 2810 | 395 | (37 CFR 1.129(a)) For each additional invention to be examined (37 CFR 1.129(b)) | 10/14, 01 FC |
| 1205 18 220 |)5 9 | **Reissue claims in excess of 20 and over original patent | 1801 | 790 | 2801 | 395 | Request for Continued Examination (RCE) | - 0 |
| • | | | 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |
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| **or number previously p | aid, if greate | r; For Reissues, see below | * Reduce | d by Basic | Filing Fe | e Paid | SUBTOTAL (3) | \$ 130.00 |
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|-------------------|--------------------------|-----------------------------------|--------|--------|----------------|---------------|--|
| Name (Print/Type) | Daniel E. Raymond, Ph.D. | Registration No. (Attorney/Agent) | 53,504 | | Telephone | 858-350-2321 | |
| Signature | Jan Rayal | | | Date O | ctober 8, 2004 | | |

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| DI | ECLARAT | | | Attorney Docket Number First Named Inventor | 31270-704.201 Sally W. Ramsey |
|----|---------------------------------------|---|----------|---|----------------------------------|
| | PATEN | IT APPL | LICATION | COMP | LETE IF KNOWN |
| | | | | Application Number | 10/771,867 |
| | Declaration Submitted | ibmitted OR Submitted after Initial Filing (surcharge | | Filing Date | February 4, 2004 |
| | PATENT A (37 C) Declaration Submitted | | Art Unit | 1762 | |
| | rung | | | Examiner Name | To Be Assigned |
| | | | | | |

| I hereby declare that: Each inventor's residence, | mailing address, a | nd cilizenship are as st | ated below next to their | name. | |
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| I believe the inventor(s) numbers which a patent is sought or | | | ventor(s) of the subject | matter which is c | laimed and for |
| PROCESS FOR AP | | | N RESISTANT, 100 D USE IN MOTOR V | | / CURABLE |
| | | (Title of the Inve | ntion)" | | |
| the specification of which | | | | | |
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| OR was filed on (MM/DE | 100000 | MA se linked States A | pplication Number or PC | F International | |
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| Application Number 10/77 | 1,887 and was ar | mended on (MM/DD/YYY | Y) (If applicable) | • | |
| I hereby state that I have re amended by any amendmen | | | he above identified spe | cification, includir | ng the claims, as |
| I acknowledge the duty to continuation-in-part applicational or PCT inte | ions, material infor | mation which became | available between the f | d in 37 CFR 1.5 filing date of the | 56, including for prior application |
| I hereby claim foreign prior inventor's or plant breeder's country other than the Unite application for patent, invent before that of the application | rights certificate(s d States of Americ or's or plant breede | i), or 365(a) of any PC ia, listed below and ha er's rights certificate(s). | T international applicative also identified below. | on which designa by checking the | ated at least one box, any foreign |
| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed | Certified Co Yes | py Attached? No |
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| Additional foreign applicat | on numbers are liste | ed on a supplemental pric | ority data sheet PTO/SB/0 | 28 attached heret | |

[Page 1 of 2]

[Page 1 of 2]

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| I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. | | | | | | |
| NAME OF SOLE OR FIRST INVENT | OR: | A petition has been filed for this unsigned inventor | | | | signed inventor |
| Given Name (first and middle [if any]) Sally W. | | | Family Name or Sumame | | Ra | amsey |
| Inventory Signature W Ramo | ' | | | | | Date 10/05/04 |
| Residence: City | State | | Country | | | Citizenship |
| Tallmadge | Ohio | | USA | | | USA |
| Mailing Address | | | | | | |
| 183 Timothy Drive | | | | | | |
| City | State | | ZIP | | | Country |
| Tallmadge | Ohio | | 44278 | 3 | | USA |
| NAME OF SECOND INVENTOR: | ☐ A pe | lition ha | s been filed for thi | is unsigr | ned is | nventor |
| Given Name (first and middle [if any]) | | | nily Name Sumame | | | |
| Inventor's Signature | | t | ************************************** | | | Date |
| Residence: City | State | | Country | | | Citizenship |
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| Additional inventors or legal representative are b | eing named on the | = snbb | emental shoot(s) PT | O/SB/02/ | 4 or 0 | 2LR attached hereto; |
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| Application Number | 10/771,867 | |
|------------------------|--------------------------------|--|
| Filing Date | February 4, 2004 | |
| First Named Inventor | Sally W. Ramsey | |
| Title | Process for Applying an Opaque | |
| Art Unit | To Be Assigned | |
| Examiner Name | To Be Assigned | |
| Attorney Docket Number | 31270-704.201 | |
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| | pplicant/inventor. | | | | | | |
| | | the entire interest. See 37 CFR : FR 3.73(b) is enclosed. (Form P | | | | | |
| | | SIGNATURE of A | Applicant or Ass | ignee of f | Record | | * |
| Name | Ecology Coating, In | ıç. | | | | | |
| Signature | Sally " | V Ramsey Vice | Presider | 1 Co | ology C | ratio | |
| Date | 10/05/0 | 4 | | | Telephone | 330-6 | 33-3500 |
| NOTE; Signa forms if more | atures of all the inventor to than one signature is r | s or assignees of record of the entire required, see below. | e interest or their rep | presentative | e(s) are required. | Submit mulSple | |
| V Tot | al of <u>1</u> | forms are submitted. | | | | | |

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Patent Application of:

Applicant:

Sally W. RAMSEY

Serial No.:

10/771,867

Filed:

February 4, 2004

Title: Process for Applying an Opaque, Corrosion Resistant, 100% Solids, UV Curable Finish to Parts for Underhood Use in Motor

Vehicles

Group Art Unit: 1762

Examiner: To Be Assigned

WSGR Reference No.: 31270-704.201

Certificate of Mailing Under C.F.R. §1.8

I hereby certify that this correspondence and all marked attachments are being deposited by Express Mail, Express Mailing Label No.: EV 517725489 US on 08-Oct-04 addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By:

Michael Boyd

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Sir:

Applicants hereby submit an Information Disclosure Statement along with attached form(s) PTO/SB/08. A copy of each listed publication is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. Applicants further request that the Examiner initial and return the attached form(s) PTO/SB/08 in accordance with MPEP §609.

Applicants reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, prior art or material to patentability as defined in §1.56.

| \boxtimes | This s | tatemen | nt qualifies under 37 C.F.R. | §1.97, subsection (b) because: | | | | | |
|-------------|-------------|---|--|---|--|--|--|--|--|
| | \boxtimes | (4) | It is being filed before the request for continued exam | mailing of a first Office Action after the filing of a nination under § 1.114. | | | | | |
| \boxtimes | - | Copies of references listed on the attached Form PTO/SB/08 are enclosed herewith EXCEPT THAT: | | | | | | | |
| | | accord applic becaus applic | lance with 37 CFR 1.98 ation publication listed or se this U.S. patent application | ocuments and non-patent literature are enclosed in (a)(2). (The U.S. patents and each U.S. patents the attached Form PTO-1449 are not enclosed on was filed after June 30, 2003 or this international stage under 35 USC §371 after June 30, 2003 (see der 37 CFR 1.98 (a)(2)(i). | | | | | |
| | fees o | f \$0.00 | and charge any additional | s hereby authorized to charge the above-referenced fees or credit any overpayment associated with this 3-2415 (Docket No.31270-704.201). | | | | | |
| | | | · | Respectfully submitted, | | | | | |
| | | | | WILSON SONSINI GOODRICH & ROSATI | | | | | |
| Dated: | | / 0 | 18/04 | By: Lay long Ph.D. Registration No. 53,504 | | | | | |
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Complete if Known 10/771,867 Application Number Substitute for form 1449/PTO February 4, 2004 Filing Date INFORMATION DISCLOSURE First Named Inventor Sally W. Ramsey STATEMENT BY APPLICANT (Use as many sheets as necessary) Art Unit 1762 **Examiner Name** To Be Assigned Sheet of 2 Attorney Docket Number 31270-704.201

| | | U.S. P. | ATENT DOC | UMENTS | • |
|-----------------------|--------------------------|---|--------------------------------|--|---|
| Examiner Initials* | Cite No. ¹ | Document Number Number-Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | AA | US- 4,210,687 | 07/01/1980 | Obendorf et al | |
| | AB | US-4,234,466 | 11/18/1980 | Takahashi et al. | |
| | AC | US-4,721,734 | 01/26/1988 | Gehlhaus et al. | |
| | AD | US-5,182,148 | 01/26/1993 | Kapp | |
| | AE | US-5,453,451 | 09/26/1995 | Sokol | |
| | AF | US-5,733607 | 03/31/1998 | Mangum et al | |
| | AG | US-6,039,798 | 03/21/2000 | Aldcroft et al. | |
| | AH | US-2001/0051229 | 12/13/2001 | Witt | |
| | AI | US-2002/0032249 | 03/14/2002 | Eckberg et al. | |
| | AJ | US-2003/0045598 | 03/6/2003 | Chen et al. | |
| | AK | US-2003/0185990 | 10/02/2003 | Bittner et al. | |
| | AL | US-2004/0071949 | 04/15/2004 | Glatkowski et al. | |
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| | FOREIGN PATENT DOCUMENTS | | | | | | | | | |
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| Examiner Initials* | Cite No. ¹ | Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ³ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | Le | | | | |
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| Examiner | Date | • |
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| Substitute for | Substitute for form 1449/PTO | | Application Number | 10/771,867 | | |
| INFORMATION DISCLOSURE | | | CLOSURE | Filing Date | February 4, 2004 | |
| STATEMENT BY APPLICANT | | First Named Inventor | Sally W. RAMSEY | | | |
| (Use as many sheets as necessary) | | | cessary) | Art Unit | 1762 | |
| | | | | Examiner Name | To Be Assigned | |
| Sheet | 2 | of | 2 | Attorney Docket Number | 31270-704.201 | |

| | | NON PATENT LITERATURE DOCUMENTS | |
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| Examiner Initials* | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T² |
| | BA | Kaminski, Dennis, "Faster, Friendlier, and Fewer Rejects", Industrial paint and Powder Magazine, April 28, 2004. | |
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